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## CHAPTER 10

# Solid lipid nanoparticles and microemulsions for drug delivery: the CNS

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**Abstract:** The chapter examined solid lipid nanoparticles (SLN) and microemulsions, chosen as carriers of drugs, administered *in vivo* to be transported to the central nervous system. Drugs of different structures and for different therapies have been studied such as doxorubicin SLN stealth and nonstealth administered in rats by intravenous route, apomorphine SLN administered in rats by duodenal route, melatonin SLN administered by transdermal and oral routes in humans, and apomorphine microemulsion administered by transdermal route in Parkinson's patients. The pharmacokinetics of the drug, followed in most studies, put in evidence that the many important pharmacokinetic parameters were notably improved versus the drug alone or in a commercial formulation.

**Keywords:** solid lipid nanoparticles; microemulsions; drug delivery system; central nervous system

## Introduction

The brain homeostasis is of primary importance for survival so that specific interfaces, also referred to as barriers, tightly regulate the exchange between the peripheral blood circulation and the cerebrospinal fluid (CSF) circulatory system. These barriers are represented by the choroid plexus epithelium, the arachnoid epithelium, and the blood–brain barrier (BBB). The concentration and clearance of endogenous and exogenous molecules, essential for the normal brain functions or dangerous because of their toxicity, are strictly regulated by the anatomic and physiologic features of each barrier (Abbott, 2002; Segal, 2000).

The presence of the BBB is certainly the most critical issue encountered in brain drug delivery. Among the possible strategies to deliver therapeutic molecules into the brain, namely, intracerebral, intraventricular, and intravascular delivery, the latest represents the most reliable one because of its potential efficacy, safety, and compliance (Silva, 2007).

Brain capillaries, differently from the peripheral capillaries, present no fenestrae, a low amount of pinocytosis vesicles and particular tight junctions also known zonula occludens. Tight junctions are structures that form a narrow and continuous seal surrounding each endothelial and epithelial cell at the apical border and are at strictly regulating the movements the molecules through the paracellular

01 pathway. These structures, together with the brain  
02 endothelial cells, make an almost impermeable  
03 barrier for drugs administered through the  
04 peripheral circulation (Kniesel & Wolburg, 2000;  
05 Lapierre, 2000).

06 A further contribution to the peculiar BBB  
07 functions is given by the periendothelial structures  
08 represented by astrocytes, pericytes, and the basal  
09 membrane (Balahanov & Dore-Duffy, 1998; Lay  
10 & Kuo, 2005).

11 The presence of BBB transport systems further  
12 complicates the scenario. In fact, these transport-  
13 ers may assist or hinder the drug delivery to the  
14 brain. The carrier-mediated transport may be able  
15 to shuttle drugs or prodrugs into the brain in  
16 therapeutic concentrations, mimicking nutrients  
17 or endogenous compounds (Conford & Hyman,  
18 1999; Pardridge, 1998).

19 Unfortunately, the presence of active efflux  
20 transporters to the BBB also limits the therapeutic  
21 efficacy of drugs virtually able to access the brain.  
22 The P-glycoprotein (P-gp) is an ATP-dependent  
23 drug transport protein present at the apical mem-  
24 branes of different epithelial cell types including  
25 those forming the BBB.

26 Recently, it has been demonstrated, either *in*  
27 *vitro* or *in vivo*, that BBB P-gp can prevent the  
28 accumulation of many molecules including a vari-  
29 ety of drugs in the brain (Stouch & Gudmundsson,  
30 2002), and P-gp inhibition has been proposed as a  
31 possible strategy to enhance the drug penetration  
32 (Skinkel, 1999).

33 Different strategies have been studied for the  
34 delivery of drugs to the brain. Indeed most part of  
35 the small drug molecules and of large molecules  
36 such as recombinant proteins or gene-based mole-  
37 cules are not able to penetrate the BBB and many  
38 efforts have been spent in the previous years  
39 toward delivery and targeting of drugs to the  
40 brain (de Boer & Gaillard, 2007). Many investiga-  
41 tions have been carried out in the previous years  
42 to improve brain tumors therapy with nanoparti-  
43 culates; there are less number of studies regarding  
44 colloidal carriers of drugs for neurological diseases  
45 or of diagnostics. Liposomes, polymeric nanopar-  
46 ticles, and solid lipid nanoparticles (SLN) have  
47 been studied, with different approaches, and the  
48 problems of overcoming the BBB.

In this chapter, we consider SLN and microe-  
mulsions as carriers for the delivery only of drugs  
active on the central nervous system (CNS). In  
particular, examining drugs used for therapy in  
neurological diseases, as many times their admin-  
istration gives problems, such as high amount of  
drug administered by parenteral route, short half-  
life, high hydrophilicity, and poor transport  
through the BBB. The aim of all the researchers  
is to study if some improvements in pharmaco-  
kinetic parameters in laboratory animals and/or in  
humans could be achieved using colloidal formu-  
lations; the review considers studies on SLN and  
microemulsions carrying only drugs active on  
CNS.

### Solid lipid nanoparticles

Different approaches are followed for the SLN  
preparation.

They can be prepared by high-pressure homo-  
genization at elevated or low temperatures, via  
warm microemulsions, by solvent emulsification-  
evaporation-diffusion, by high-speed stirring, and/  
or sonication (Muller, Kader, & Gohla, 2000).

Here we refer only about SLN carrying drugs  
active on CNS (at brain level).

SLN carrying the lipophilic antipsychotic drug  
clozapine were prepared by hot homogenization  
followed by ultrasonication method. Clozapine  
has a very poor bioavailability (Manjunath &  
Venkateswarlu, 2005). The SLN were adminis-  
tered by intravenous (IV) and duodenal routes  
to Swiss albino mice. For the intravenous admin-  
istration, stearylamine was entrapped with cloza-  
pine in SLN; the area under curve (AUC) in the  
brain increased up to 2.91-fold the one of cloza-  
pine suspension.

The same authors (Manjunath & Venkates-  
warlu, 2006) developed SLN as carriers of the  
highly lipophilic drug nitrendipine, using different  
triglycerides for the lipid matrix, soy lecithin, and  
Poloxamer 188. Positive and negative charged  
nitrendipine SLN were also produced and then  
examined to explore the influence of the charge  
on oral bioavailability. The different kinds of SLN  
were administered by IV and intraduodenal

01 routes to rats; pharmacokinetic parameters of  
02 nitrendipine SLN were examined, tissue distribu-  
03 tion studies were carried out in Swiss albino mice,  
04 against that of a nitrendipine suspension. Follow-  
05 ing IV administration nitrendipine-loaded SLN  
06 were found to be taken up to a greater extent in  
07 tested organs than nitrendipine suspension. The  
08 AUC and MRT of nitrendipine SLN were higher  
09 than those of nitrendipine suspension especially in  
10 brain and heart. Positively charged SLN were bet-  
11 ter taken up by the brain and moderately taken up  
12 by the heart. Reticuloendothelial system (RES)  
13 organs such as liver and spleen were compared  
14 with the ones after nitrendipine suspension admin-  
15 istration. The higher levels of the drug were main-  
16 tained for over 6 h in confront to only 3 h with  
17 nitrendipine suspension.

18 SLN were investigated for their ability to deli-  
19 ver quinine dihydrochloride for the management  
20 of cerebral malaria (Gupta, Jain, & Jain, 2007).  
21 Quinine was incorporated in SLN and successively  
22 coupling of SLN with transferrin (Tf) was  
23 achieved by a cross-linker. IV administration of  
24 Tf-conjugated SLN enhanced the brain uptake of  
25 quinine in confront to the SLN loaded of quinine  
26 alone.

27 In order to enhance the delivery of atazanavir, a  
28 HIV protease inhibitor, spherical SLN carrying  
29 the drug were tested at first using a well-charac-  
30 terized human brain microvessel endothelial cell  
31 line (hCMEC/D3). Cell viability experiments  
32 demonstrated that SLN exhibit no toxicity on  
33 hCMEC/D3 cells up to a concentration corre-  
34 sponding to 200 nM of the drug. Delivery of  $^3\text{H}$ -  
35 atazanavir by SLN led to a significantly higher  
36 accumulation by the endothelial cell monolayer  
37 as compared to the drug aqueous solution (Chat-  
38 topadhyay, Zastre, Wong, Wu, & Bendayan,  
39 2008).

40 The transport *in situ* of lipid nanoparticles to the  
41 brain was evaluated by Koziara, Lockman, Allen,  
42 and Mumper (2003); the lipidic nanoparticles were  
43 prepared by warm microemulsion precursors fol-  
44 lowed by hot homogenization technique. Their  
45 components were emulsified wax (E wax) or Brij  
46 72 as matrix, and water and Brij 78 as surfactant.  
47 The warm microemulsion was cooled upon stir-  
48 ring and the lipid SLN were obtained and

homogenized. The SLN were labelled with  $^3\text{H}$   
cetyl alcohol. The transport of the nanoparticles  
was measured by an “*in situ*” rat brain perfusion  
method; significant uptake of SLN was obtained .  
suggesting CNS uptake. The same group studied  
also the effect that the addition of a thiamine  
ligand to NPs, obtained by microemulsion as pre-  
cursors, causes association with the BBB thiamine  
transporter (Lockman et al., 2003).

Muller and coworkers studied the preferential  
adsorption of blood protein onto intravenously  
injected particulate carriers from different origins  
(Luck, Paulke, Schroder, Blunk, & Muller, 1998);  
in particular, Apolipoprotein E (Apo E) on the  
surface of P80-coated SLN after their incubation  
in human plasma citrate. Delivery to the brain  
using nanoparticulate drug carriers in combination  
with the targeting principles of “differential pro-  
tein adsorption” has been proposed (Dehouck  
et al., 1997). The Pathfinder technology (Muller  
& Schmidt, 2002) exploits proteins present in the  
blood which absorb onto the surface of intrave-  
nously injected carriers for targeting nanoparticles  
to the brain. Apo E is one of such targeting mole-  
cules for the delivery of nanoparticles to the  
endothelial cells of the BBB. Apo E can play an  
important role in the transport of lipoprotein into  
brain via the low-density lipoprotein receptor pre-  
sent on the BBB. Atoquavone (Muller & Keck,  
2004; Scholler et al., 2001) is a drug poorly  
adsorbed after oral administration, showing poor  
therapeutic efficacy against toxoplasma enceph-  
alitis (TE). Nanocrystals of the drug were pro-  
duced, their surface was modified with Tween 80  
leading to *in vivo* preferential absorption of Apo  
E; the nanosuspension was IV administered to a  
murine model of TE, obtaining the disappearance  
of parasites and of cysts at dose 10-fold smaller  
than the one of atoquavone administered by oral  
route.

### ***Solid lipid nanoparticles from warm microemulsions***

SLN can be achieved from warm microemulsions.

Warm microemulsions are prepared at tem-  
perature ranging from 60°C to 80°C by using

01 melted lipids (such as triglycerides/fatty acids) as  
 02 oil, surfactants such as lecithin, and cosurfactants  
 03 (such as short-chain carboxylates, biliar salts); the  
 04 warm microemulsions are subsequently dispersed  
 05 in cold water. The nanodroplets of warm micro-  
 06 emulsion, using this procedure, become SLN; they  
 07 are successively washed by tangential flow filtra-  
 08 tion. SLN are spherical in shape and with a narrow  
 09 size distribution. The zeta potential is normally  
 10 high (30/40 mV) being positive or negative  
 11 depending on the starting formulation.

12 Hydrophilic and lipophilic molecules (drugs or  
 13 diagnostics) can be incorporated in SLN using  
 14 different methods.

15 SLN are able to carry drugs of different struc-  
 16 ture and lipophilicity, such as cyclosporine A  
 17 (Ugazio, Cavalli, & Gasco, 2002), paclitaxel  
 18 (Cavalli, Caputo, & Gasco, 2000), doxorubicin  
 19 (Fundaro, Cavalli, Bargoni, Vighetto, & Gasco,  
 20 2000), tobramycin (Cavalli et al., 2003), short-  
 21 chain fatty acids (Dianzani et al., 2006), peptides  
 22 (Morel, Cavalli, & Gasco, 1996), antisense oligo-  
 23 nucleotides (Brioschi et al., 2008), and melatonin  
 24 (MT) (Rezzani et al., 2009). Also diagnostic com-  
 25 pounds such as iron oxides (Pereira, 2003) have  
 26 been incorporated into SLN.

27 SLN can be internalized within 2–3 min into all  
 28 the tested cell lines (Miglietta, Cavalli, Bocca,  
 29 Gabriel, & Gasco, 2000; Serpe et al., 2006); admin-  
 30 istered by duodenal route and are targeted to  
 31 lymph (Bargoni et al., 1998). SLN stealth can  
 32 also be prepared to avoid their recognition by  
 33 the RES, thus prolonging their residence time  
 34 (Podio, 2001). SLN drug, unloaded or loaded,  
 35 stealth/or nonstealth, are transported through the  
 36 BBB (Podio, 2001; Zara et al., 2002).

### 37 **Drug-loaded solid lipid nanoparticles**

38  
 39 In the late 1990s SLN were proposed for brain  
 40 drug targeting by several groups (Yang, Zhu, Lu,  
 41 & Liang, 1999; Zara et al., 1999), which studied  
 42 the pharmacokinetics of two anticancer agents:  
 43 camptothecin and doxorubicin. After oral and IV  
 44 administration, they observed drug accumulation  
 45 in the brain.  
 46  
 47  
 48

Both stealth and nonstealth stearic acid  
 unloaded labelled SLN were found in rat CSF  
 20 min after IV administration even though low  
 amount of radioactivity was found in the CSF  
 samples collected from cisterna magna (Podio,  
 Zara, Carazzone, Cavalli, & Gasco, 2000).

When the same kind of SLN were loaded with  
 doxorubicin, significantly higher drug concentra-  
 tions were found in the brain of the animals trea-  
 ted with stealth SLN as compared to nonstealth  
 SLN and doxorubicin solution. The overall plasma  
 pharmacokinetics of stealth and nonstealth SLN  
 provided to be significantly different from that of  
 the doxorubicin solution (Fundaro et al., 2000).

R-apomorphine (10,11-dihydroxyapomorphine)  
 is a well-known potent short-acting dopamine ago-  
 nist at D1 and D2 dopamine receptors and it was  
 proposed as an antiparkinsonian drug more than a  
 century ago. It significantly reduces the severity  
 and duration of “off” periods and it is able to  
 reverse bradykinesia when administered alone.  
 Despite these favorable clinical effects, the drug’s  
 clinical use is somewhat limited by its pharmaco-  
 kinetic profile: short half-life (~30 min), rapid  
 clearance from the plasma, lack of storage and  
 retention in brain regions, poor oral bioavailabil-  
 ity (5%), and first-pass hepatic metabolism are  
 significant limitations to chronic oral administra-  
 tion. Our group evaluated a new formulation of  
 apomorphine in SLN (submitted data for publish-  
 ing); the study was designed to investigate the  
 pharmacokinetics and biodistribution of apomor-  
 phine incorporated in SLN, injected orally or  
 intravenously in rats.

*In vitro* the release over time of apomorphine  
 from the SLN dispersion was almost linear. After  
 IV administration the peak plasma concentration  
 was higher after apomorphine solution adminis-  
 tration than after apomorphine SLN. However,  
 the total area under curve (AUC<sub>tot</sub>) was nonsigni-  
 ficantly different after SLN than apomorphine  
 solution. The terminal half-life was significantly  
 longer following apomorphine SLN.

Following intraduodenal administration we  
 found that the C<sub>max</sub> and AUC<sub>tot</sub> were significantly  
 higher with apomorphine SLN compared to apo-  
 morphine solution; on the contrary, the clearance

was shorter after apomorphine solution than after the SLN formulation.

In the brain the apomorphine concentration was significantly higher 30 min after apomorphine SLN IV administration versus solution; it was detected only at 4 h after apomorphine SLN injection.

After duodenal administration the drug was detectable in brain only at 30 min after apomorphine SLN administration. No drug was found neither at 4 h nor at 24 h after injection of either apomorphine SLN or the solution.

Furthermore, the free drug concentration was measured in human plasma and we showed that the release started after the absorption of the apomorphine SLN. We also measured the free apomorphine concentration in human blood over time. The amounts in question are relatively low, but may be sufficient to expect clinical effects when administered to parkinsonian patients. After apomorphine solution administration, the amounts of apomorphine determined in the plasma were by far lower than those from SLN, confirming previous studies on the duodenal administration of drug loaded and unloaded SLN (Fig. 1).

In order to furnish a general model for SLN-based delivery systems of drugs devoid of favorable pharmacokinetics, we have recently

incorporated MT in SLN (MT-SLN). MT has been chosen for our *in vivo* study because of its safeness in humans even at high dosages.

MT is a hormone produced by the pineal gland at night, involved in the regulation of circadian rhythms. For clinical purposes (mainly disorders of the sleep-wake cycle and insomnia in the elderly), exogenous MT administration should mimic the typical nocturnal endogenous MT levels, but its pharmacokinetics is not favorable due to its short half-life of elimination (DeMuro, Nafziger, Blask, Menhinick, & Bertino, 2000; Mallo et al., 1990). The pharmacokinetics of MT-SLN has been examined in humans after administration by oral and transdermal route (Priano et al., 2007). Three kinds of freeze-dried MT-SLN containing different amounts of MT were prepared and characterized: (a) MT-SLN: MT = 1.8% for *in vitro* experiments (average diameter: 85 nm, polydispersity index = 0.135); (b) MT-SLN: MT = 2% for transdermal application (average diameter = 91 nm, polydispersity index = 0.140); and (c) MT-SLN: MT = 4.13% for oral route (average diameter = 111 nm, polydispersity index = 0.189).

*In vitro*, MT-SLN produced a flux of MT of 1  $\mu\text{g}/\text{h}/\text{cm}^2$  through hairless mice skin, following a pseudo-zero-order kinetics (45). At the same time, *in vivo* study produced very interesting results,

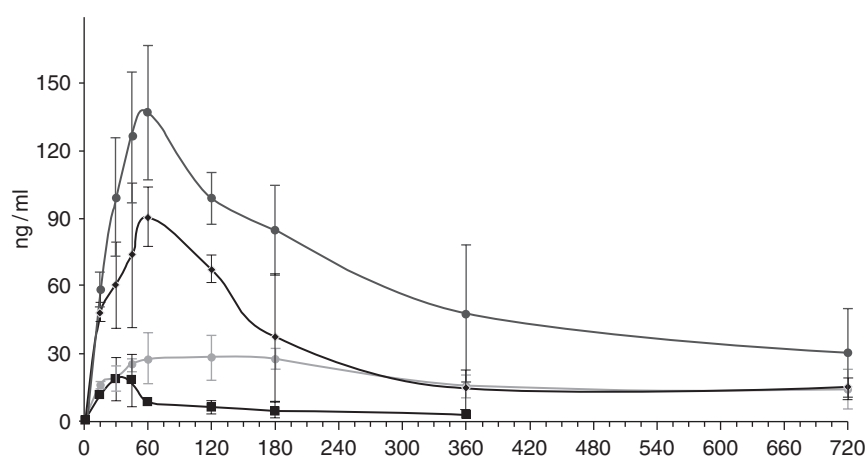


Fig. 1. Plasma levels of free apomorphine and total apomorphine after duodenal administration of apomorphine solution or apomorphine SLN in rats.



confirming in humans that SLN can act as a reservoir that allows a constant and prolonged release of the included drugs (Peira et al., 2003). MT (3 mg) incorporated in SLN was orally administered at 8.30 a.m. to seven healthy subjects; for control purposes, 1 week later the same subjects received orally a standard formulation of MT at the same dose (3 mg) and again at 8.30 a.m. Compared to the MT standard solution,  $T_{\max}$  observed after MT-SLN administration was delayed of about 20 min, while mean AUC and mean half-life of elimination were significantly higher (respectively  $169,944.7 \pm 64,954.4$  pg/mL  $\times$  hour vs.  $85,148.4 \pm 50,642.6$  pg/mL  $\times$  hour,  $p = 0.018$ ; and  $93.1 \pm 37.1$  min vs.  $48.2 \pm 8.9$  min,  $p = 0.009$ ). Even more, standard formulation and MT-SLN after oral administration produced similar peak plasma levels of MT, even if delayed of about half an hour in the case of MT-SLN. More interestingly, detectable and clinically significant MT plasma levels after MT-SLN oral administration were maintained for a longer period of time, suggesting that SLN orally administered to humans can yield a sustained release of the incorporated drug, a feature that could be particularly useful for molecules, such as MT, characterized by unfavorable kinetics (Priano et al., 2007). Previous studies

in laboratory animals indicated a probable targeting of SLN — either drug-loaded or unloaded — to lymph, after duodenal administration (Bargoni et al., 1998). Similarly, the significantly longer half-life of MT observed in the study of Priano et al. (2007) may suggest a targeting of MT-SLN to human lymph, even though the capsules used to administer SLN were not gastro-resistant. In fact, MT half-life of elimination has been calculated in about 40 min after an intravenous bolus and following oral administration low bioavailability and rapid clearance from plasma have been shown, primarily due to a marked first-pass hepatic metabolism. Moreover, pharmacokinetic analysis following transdermal administration of MT-SLN demonstrated that plasma levels of MT similar to those produced by oral administration may be achieved for more than 24 h (50). In 10 healthy subjects, SLN incorporating MT were administered transdermally by applying a patch at 8.30 a.m. and leaving it in place for 24 h. In this delivery system, MT absorption and elimination were slow (mean half-life of absorption =  $5.3 \pm 1.3$  h; mean half-life of elimination =  $24.6 \pm 12.0$  h) so that MT plasma levels above 50 pg/mL were maintained for at least 24 h (Figs. 2 and 3). Tolerability of MT-SLN administered transdermally or by oral

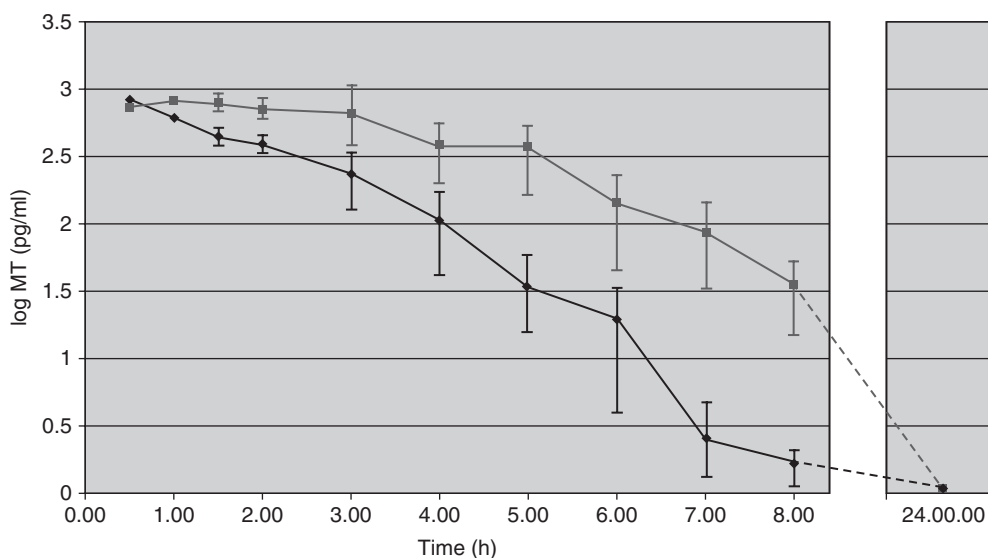


Fig. 2. MT plasma profile in humans after MT (♦) and MT-SLN (■) oral administration.

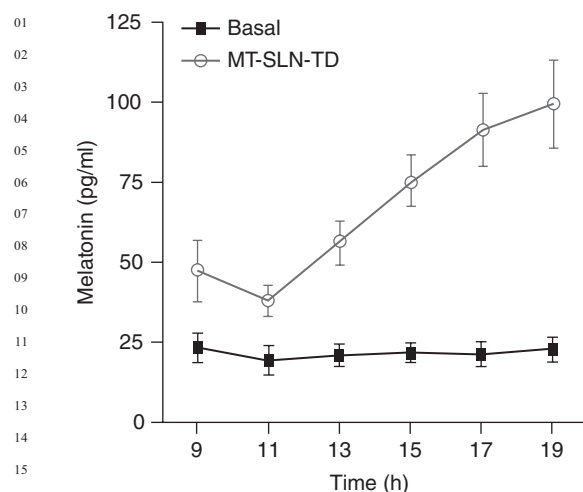


Fig. 3. MT plasma levels in humans at baseline and after MT-SLN transdermal administration (MT-SLN-TD).

route was good and no adverse effect occurred, apart from a predictable mild somnolence and transient erythema after gel application. This means that, at least at the doses used in that study (45), SLN administration via the oral or transdermal routes is safe.

In this context, we also tested transdermal MT-SLN for three consecutive nights in five patients suffering from delayed sleep phase syndrome (unpublished data), confirming the safeness of this formulation. Due to the small sample, however, the tendency of clinical benefits was present but statistical significance could not be reached, so that further investigations in larger samples are needed in order to evaluate the impact of this new formulation in clinical practice.

However, these very favorable results, obtained in humans administering MT-loaded SLN, clearly suggest that SLN can be considered effective *in vivo* delivery systems that could be suitably applied to different drugs, and in particular to those requiring prolonged high plasma levels but that have unfavorable pharmacokinetics. Finally, it must be stressed that, since doses and concentrations of drugs included in SLN can be varied, different plasma level profiles could be obtained, thus disclosing new chances for sustained delivery systems adaptable to a variety of clinical conditions (Priano et al., 2007).

Suitability of SLN to convey drugs into CNS is also confirmed by studies regarding baclofen included in SLN. Intrathecal baclofen administration represents the reference treatment for spasticity of spinal or cerebral origin. Nevertheless, surgical involvement together with risk of infection or catheter dysfunction may limit the number of potentially treatable patients (Dario & Tomei, 2004; Perot & Almeida-Silveira, 1994). In order to explore alternative and efficacious routes of administration, we studied a new pharmaceutical preparation characterized by SLN incorporating baclofen (baclofen-SLN) (submitted data for publishing). Baclofen concentration, after reconstitution with water of freeze-dried SLN, was 1.7 mg/mL. Groups of Wistar rats were injected intraperitoneally with physiological solution and unloaded SLN at 10 mL/kg (control groups), with baclofen-SLN (baclofen-SLN group), and baclofen solution (baclofen-sol group) at increasing dosages of 2.5, 5, 7.5, 8.5, and 10 mg/kg. At different times up to the fourth hour, efficacy testing was performed by means of H-reflex, while behavioral characterization was obtained using two scales validated for motor symptoms due to spinal lesions and sedation in rat models (Nemethy, Paroli, Williams-Russo, & Blanck, 2002; Tsunoda, Kuang, Tolley, Whitton, & Fujinami, 1998). Rats were sacrificed for detecting baclofen concentration in blood and tissue. Compared to baclofen-sol and control group, *H/M* amplitude curve after baclofen-SLN injection was characterized by a dose-dependent reduction at the first and second hours, so confirming efficacy, and a rebound increase at the fourth hour, indicating an unexpected belated spinal hyperexcitability (Fig. 4). Similarly, baclofen-SLN effect on behavioral scales was stronger compared to baclofen-sol group, with the maximum effects obtained at the first hour. Moreover, clinical effects were detectable after low dosages of baclofen-SLN (2.5 mg/kg) but only after higher dosages of baclofen-sol (7.5 mg/kg). After 4 h from the injection, only the rats treated with the higher dosages of baclofen-SLN still presented clinical signs consisting in sedation (8.5 mg/kg) or complete paralysis and piloerection (10 mg/kg). On the whole, these data suggest a dose-dependent modulation of spinal reflex excitability, which is

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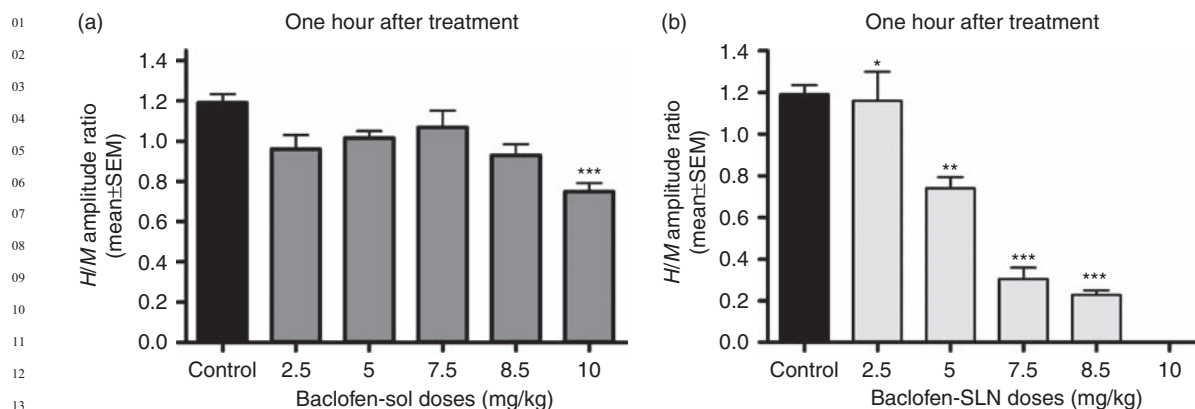


Fig. 4. H/M amplitude ratios after baclofen-solution (a) and after baclofen-SLN (b), at increasing doses, compared to control animal group. \* $p < 0.05$ ; \*\* $p < 0.01$ ; \*\*\* $p < 0.001$ .

not so evident after administration of standard formulation of baclofen. Nevertheless, important cortical effects were also present. Clinical data were related with plasma and tissue concentrations. In fact, after 2 and 4 h only baclofen-SLN administration produced measurable baclofen plasma concentrations, with an almost linear decrease of baclofen appreciable for 4 h. On the contrary, undetectable amount of baclofen in plasma were noticed 2 h after administration of baclofen-sol. In brain, both the two formulations (baclofen in solution and in SLN) gave a maximum after 2 h but concentrations after SLN were almost twice the ones after solution. This last data might be due partly to the free drug already released and to baclofen-SLN overcoming the BBB. We realize that for clinical purposes this effect of baclofen-SLN is unwished, as it is responsible for sedation. However, baclofen-sol injections also produced sedation, even if weaker and corresponding to lower plasma concentrations, compared to baclofen-SLN. In conclusion, higher spinal and cortical effects of baclofen-SLN, compared to equivalent dosages of baclofen-sol, seem attributable to higher and more prolonged concentrations of drugs in plasma and brain.

As previously noted, unloaded SLN administered by duodenal route are targeted to lymph and the incorporated drug can be partly distributed in the brain; moreover, SLN can also be

prepared stealth for increasing their residence time (Bargoni et al., 1998; Fundaro et al., 2000; Podio, 2001; Zara et al., 2002). Other new studies will be directed toward a duodenal administration of baclofen-SLN stealth, not only for prolonging their residence time but also to target them to lymph, enhancing their bioavailability. Further research should also be directed toward the optimization of dosages and concentrations of baclofen included in SLN, in order to preserve the prolonged antispastic effect, peculiar of this new formulation, but devoid of clinically significant cortical effects.

### Solid lipid nanoparticles as potential diagnostics

Superparamagnetic iron oxides are classified as contrast agents for magnetic resonance imaging (MRI). They are able to affect the water relaxation times  $T_1$  and  $T_2$ ; their ability in altering such properties is quantified by the parameter relaxivity. Iron oxides are able to affect preferentially the  $T_2$  relaxation times of tissues (and are called  $T_2$ -relaxing agents) while paramagnetic contrast agents such as Gd complexes affect mainly  $T_1$  and are called  $T_1$ -relaxing agents.

Iron oxides are insoluble in water; therefore, to be clinically used they must be transformed in modified colloids while their magnetic properties

should remain unchanged. The surface of the iron oxide nanoparticles can be modified, covering them by hydrophilic macromolecules; such as dextran in the case of Endorem.

A research was performed in order to know whether SLN can load iron oxides and whether they are able to reach the brain. Two different SLN, SLN-Fe<sup>A</sup> and SLN<sup>B</sup> containing iron oxides were prepared from warm microemulsions and studied at first *in vitro* (1). The comparison of Fe-SLN was performed with Endorem. Both the Fe-SLN preparations showed relaxometric properties similar to the ones of Endorem. The good  $T_2$ -relaxation-enhancing properties allow an *in vivo* study of their distribution by MRI. Fe-SLN<sup>B</sup>, at the higher Fe concentration, were administered IV to rats; the comparison was performed with Endorem. Images obtained after Endorem IV administration show early modification, but soon return to baseline; these findings are consistent with short Endorem retention time in blood. Results from SLN-Fe<sup>B</sup> show a different behavior. For each part of the brain, maximal SS is reached in the last images (135 min after administration). SS increase from the first to the last acquisition. This study shows that after inclusion in SLN, Endorem becomes a new type of contrast agent: Endorem is taken by the liver and does not cross the BBB, while Endorem containing SLN-Fe<sup>B</sup> shows CNS uptake. This means that SLN-Fe kinesis is related to SLN and not to their iron oxide content as already seen.

### Microemulsions

Microemulsions are transparent, thermodynamically stable dispersions of water and oil, usually stabilized by a surfactant and a cosurfactant. They contain particles smaller than 0.1  $\mu\text{m}$ . Microemulsions are often defined as thermodynamically stable liquid solutions; the stability of microemulsions is a consequence of the ultralow interfacial tension between the oil and water phases. A clear distinction exists between microemulsion and coarse emulsions. The latter are thermodynamically unstable, droplets of their dispersed phase

are generally larger than 0.1  $\mu\text{m}$  and consequently their appearance is normally milky rather than transparent.

The limits in the use of microemulsions in the pharmaceutical field are chiefly from the need of all the components to be acceptable, particularly surfactants and cosurfactants — the amounts of surfactants and cosurfactants required to form microemulsions are usually higher than those required for emulsions.

Recently, apomorphine was incorporated into microemulsions to study whether they are a feasible vehicle for transdermal transport of this drug. In the preparatory *in vitro* study (Peira, Scolari, & Gasco, 2001), two different microemulsions whose components were all biocompatible were studied: the concentration of apomorphine was 3.9% in each. Since apomorphine is highly hydrophilic, to increase its lipophilicity, apomorphine-octanoic acid ion pairs were formed. At pH 6.0,  $\log P_{\text{app}}$  of apomorphine increased from 0.3 in the absence of octanoic acid to  $\log P_{\text{app}} = 2.77$  for a molar ratio 1:2.5 (apomorphine: octanoic acid). The flux of drug from the two thickened microemulsions through hairless mouse skin was, respectively, 100 and 88  $\mu\text{g}/\text{h}/\text{cm}^2$ . The first formulation, having the higher flux, was chosen for *in vivo* administration to Parkinson's patients.

For the *in vivo* study, 21 patients with idiopathic Parkinson's disease who presented long-term L-DOPA syndrome, motor fluctuations and prolonged "off" periods were selected (Priano et al., 2004). Here, 10 g of apomorphine hydrochloride (3.9%), included in microemulsion for transdermal delivery (Apo-MTD), was applied to a 100  $\text{cm}^2$  skin area on the chest; the area was delimited by 1-mm-thick biocompatible foam tape and covered with a polyester-based membrane and an occlusive membrane to prevent evaporation. In these conditions, a single layer of microemulsion (1 mm thick) was directly in contact with the skin surface and acted as a reservoir of apomorphine. Apo-MTD was applied at 8.00 a.m. and left for 12 h. In all patients, except two, apomorphine was detected in blood samples after a variable lag time. Pharmacokinetic analysis revealed that epicutaneous-transdermal apomorphine absorption was rapid (mean half-life of absorption = 1.03 h)

with a variability among patients (half-life of absorption,  $SD = 1.39$  h). Mean  $C_{max}$  was above the therapeutic range (mean  $C_{max} = 42.81 \pm 11.67$  ng/mL), with a mean  $T_{max}$  of  $5.1 \pm 2.24$  h. Therapeutic concentrations of apomorphine were reached after a mean latency of 45 min (range 18–125), and stable concentrations, above the therapeutic range, continued for as long as Apo-MTD was maintained in place. At the 12th hour, Apo-MTD was removed, and the apomorphine plasma concentration then decreased at a rate comparable to that described for subcutaneous administration (mean half-life of elimination equal to  $10.8 \pm 1.93$  h).  $C_{max}$  and AUC showed good correlations with the reduction of “off” periods duration and with the improvement of clinical scores evaluating motor performances ( $r$  values ranging from 0.49 to 0.56, with  $p$  values ranging from 0.02 to 0.04). Apo-MTD overall tolerability was good: systemic side effects were similar to those caused by subcutaneous apomorphine injection (sleepiness, mild orthostatic hypotension, and transient nausea), and in the case of nausea, they were strictly related to the highest plasma level of apomorphine. Moreover, regarding local side effects, the large majority of patients (71.4%) presented a transient mild erythema at the site of Apo-MTD application, with a complete regression within 48 h, whereas only in two cases the erythema lasted more than 3 days and required local therapy. This study clearly demonstrated that in most Parkinson’s patients Apo-MTD is absorbed by the epicutaneous–transdermal route. This result is in contrast with other reports, where the transdermal route did not produce detectable plasma levels of apomorphine, or in which no apomorphine was transported passively through the skin (Gancher, Nutt, & Woodward, 1991; van der Geest, van Laar, Gubbens-Stibbe, Boddé, & Danhof, 1997). Probably, this difference was mainly due to the peculiar pharmaceutical preparation used. Even if pharmacokinetic parameters are variable, Apo-MTD demonstrated the feasibility of providing therapeutic apomorphine plasma levels for much longer periods of time than previously tested apomorphine preparations (several hours), allowing a more constant dopaminergic stimulation. These results are encouraging and Apo-MTD might become of

clinical value in some parkinsonian patients suffering from uncontrolled “wearing-off” and prolonged “off” phenomena. On the contrary, because of the lag time of about 1 h before therapeutic concentrations are reached, Apo-MTD may not be the “ideal” preparation for rapid relief of “off” periods.

Since Apo-MTD was found to provide constant drug release over several hours, other studies have been addressed to its use for the nocturnal sleep disorders of Parkinson’s patients. Twelve parkinsonian patients underwent standard polysomnography on basal condition and during one night treatment with Apo-MTD (applied to  $100\text{ cm}^2$  from 10 p.m. until 8 a.m.; Priano et al., 2003). Sleep analysis during APO-MTD treatment in comparison to basal condition showed very favorable findings: 16% increment of total sleep time, 12% increment of sleep efficiency, 16% increment of stage 3 and 4 nonrapid eye movement (NREM), 15% reduction of periodic limb movements index, 22% reduction of arousal index, and 23% reduction of the “cycling alternating pattern” rate, an objective measure of disruption and fragmentation of NREM sleep. Pharmacokinetic analysis confirmed the absorption of apomorphine and the maintenance of therapeutic plasma levels for several hours (mean  $C_{max} = 31.8 \pm 9.7$  ng/mL; mean  $T_{max} = 3.1 \pm 1.6$  h; mean half-life of absorption =  $1.2 \pm 1.4$  h; mean half-life of elimination =  $8.8 \pm 1.9$  h). On the whole, this study confirmed that APO-MTD in Parkinson’s disease might be able to reduce nocturnal anomalous movements, akinesia, and rigidity, and might be efficacious for reducing the instability of sleep maintenance typical of parkinsonian sleep.

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Chapter No: 10

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